POWER OF CHOICE – SYSTEMS WORKING GROUP (POC-SWG) MEETING PACK

October 2016

AGENDA SLIDE

Morning Session

1. Introductions
2. Background
3. Program Structure
   a) Work streams
   b) Program overview
   c) Risk, Issues & processes
4. SWG membership
5. Context, Boundaries & Outcomes
6. Forward Meeting plan

Afternoon Session

1. Review of high priority questions
2. FTP e-Hub walkthroughs
3. Other Business
4. Next steps

AEMO Team

- Wayne Lee (Chair)
- James Kevil (Internal IT Stream Lead)
- Matt Stuchbury (Business Analysis B2B SME)
- Michael Ryan (PoC Project Manager)
- Ben Healy (PoC Program Manager)
- Satheesh Kumar (Lead Architect)

BACKGROUND

- On 26 November 2015, the AEMC made its final rule determination for Competition in Metering and Related Services.

- On 17 December 2015, the AEMC made its final rule determination for Embedded Networks

- On 17 March 2016, the AEMC made its final rule determination for Meter Replacement Processes

- The new arrangements, which will commence on 1 December 2017, have required changes to the National Electricity Rules (NER) and the National Electricity Retail Rules (NERR)

- The changes to the NER require that AEMO and the IEC amend existing procedures and that AEMO create new procedures

- AEMO will be required to change its systems to facilitate Procedure amendments

- Currently in an 'implementation phase'
  - Expectation that all participants are currently undertaking implementation programs

- Rule consultations have concluded
- Procedure consultations are in progress (separate WG's)

- Prescribed timeframes
  - 'Go live' date: Rules effective date is a law.

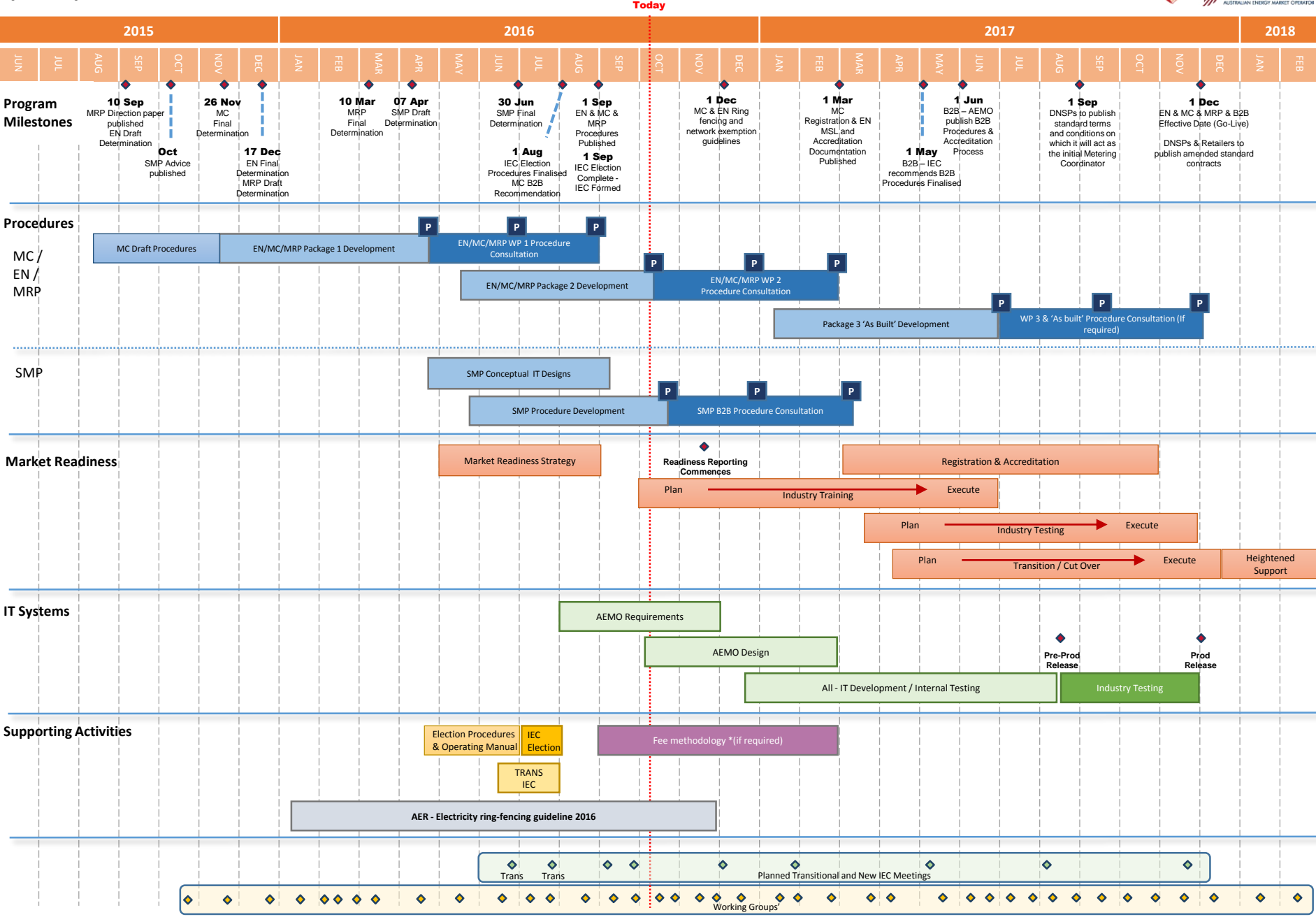# PROGRAM STRUCTURE: WORK STREAMS
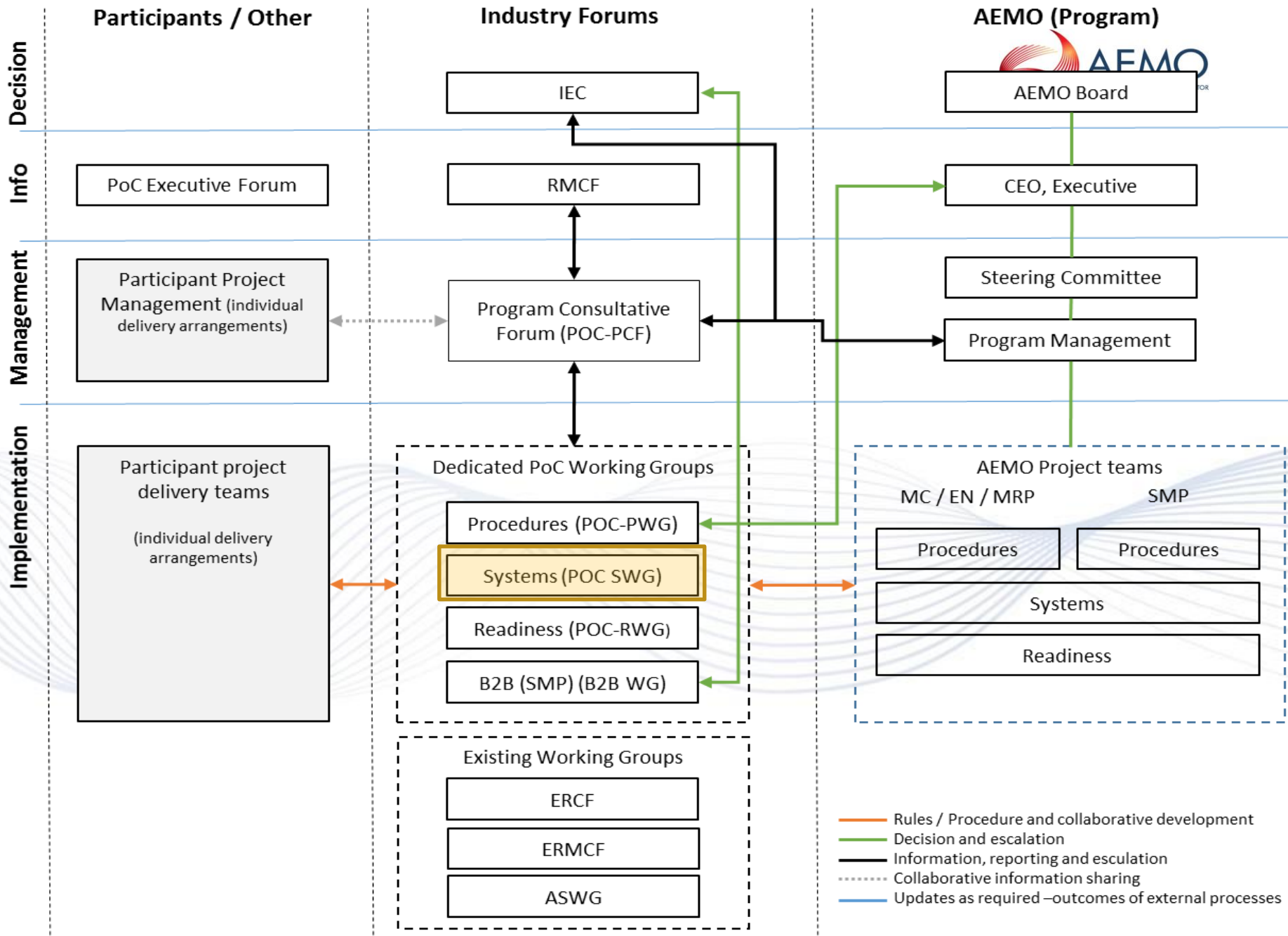
- Each work stream has a dedicated working group

- Work streams and working groups have been formed with respect to both deliverables and critical skill requirements.

- Currently planning on utilising the ASWG as amendments to schemas are required

- Decisions in relation to the POC deliverables developed in these work streams are with either the IEC or AEMO

Power of Choice (PoC) Program Overview

High Level Program V4.4 – 10 October16

AEMO AUSTRALIAN ENERGY MARKET OPERATOR

Today

2015	2016	2017	2018
JUN JUL AUG SEP OCT NOV DEC	JAN FEB MAR APR MAY JUN JUL AUG SEP OCT NOV DEC	JAN FEB MAR APR MAY JUN JUL AUG SEP OCT NOV DEC	JAN FEB

Program Milestones

10 Sep MRP Direction paper published EN Draft Determination

Oct SMP Advice published

26 Nov MC Final Determination

17 Dec EN Final Determination MRP Draft Determination

10 Mar MRP Final Determination

07 Apr SMP Draft Determination

30 Jun SMP Final Determination

1 Aug IEC Election Procedures Finalised MC B2B Recommendation

1 Sep EN & MC & MRP Procedures Published

1 Sep IEC Election Complete - IEC Formed

1 Dec MC & EN Ring fencing and network exemption guidelines

1 Mar MC Registration & EN MSL and Accreditation Documentation Published

1 May B2B – IEC recommends B2B Procedures Finalised

1 Jun B2B – AEMO publish B2B Procedures & Accreditation Process

1 Sep DNSPs to publish standard terms and conditions on which it will act as the initial Metering Coordinator

1 Dec EN & MC & MRP & B2B Effective Date (Go-Live)

DNSPs & Retailers to publish amended standard contracts

Procedures

MC / EN / MRP

- MC Draft Procedures
- EN/MC/MRP Package 1 Development
- **P** **P** **P** EN/MC/MRP WP 1 Procedure Consultation
- EN/MC/MRP Package 2 Development
- **P** **P** **P** EN/MC/MRP WP 2 Procedure Consultation
- Package 3 'As Built' Development
- **P** **P** **P** WP 3 & 'As built' Procedure Consultation (If required)

SMP

- SMP Conceptual IT Designs
- SMP Procedure Development
- **P** **P** **P** SMP B2B Procedure Consultation

Market Readiness

- Market Readiness Strategy
- ◆ Readiness Reporting Commences
- Registration & Accreditation
- Plan → Industry Training → Execute
- Plan → Industry Testing → Execute
- Plan → Transition / Cut Over → Execute
- Heightened Support

IT Systems

- AEMO Requirements
- AEMO Design
- ◆ Pre-Prod Release
- ◆ Prod Release
- All - IT Development / Internal Testing
- Industry Testing

Supporting Activities

- Election Procedures & Operating Manual
- IEC Election
- TRANS IEC
- Fee methodology *(if required)
- AER - Electricity ring-fencing guideline 2016
- Trans   Trans   Planned Transitional and New IEC Meetings
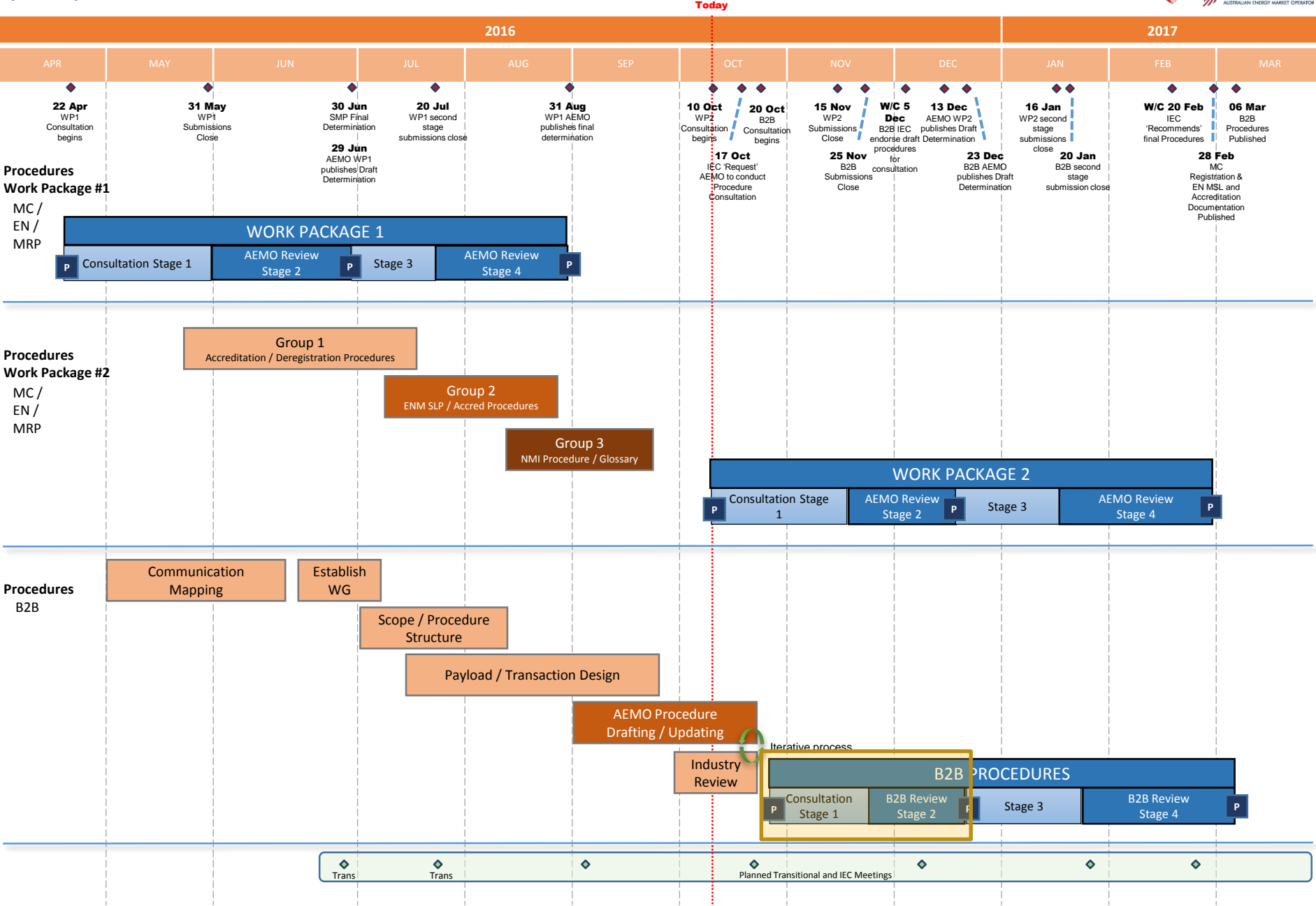- Working Groups

For Information: poc@aemo.com.au

EN = Embedded Network, MRP = Meter Replacement Processes   MC = Metering Competition, SMP = Shared Market Protocols

◆ Milestone
P Publish
◇ Working group meeting
◇ Proposed IEC Meetings (Trans & New)

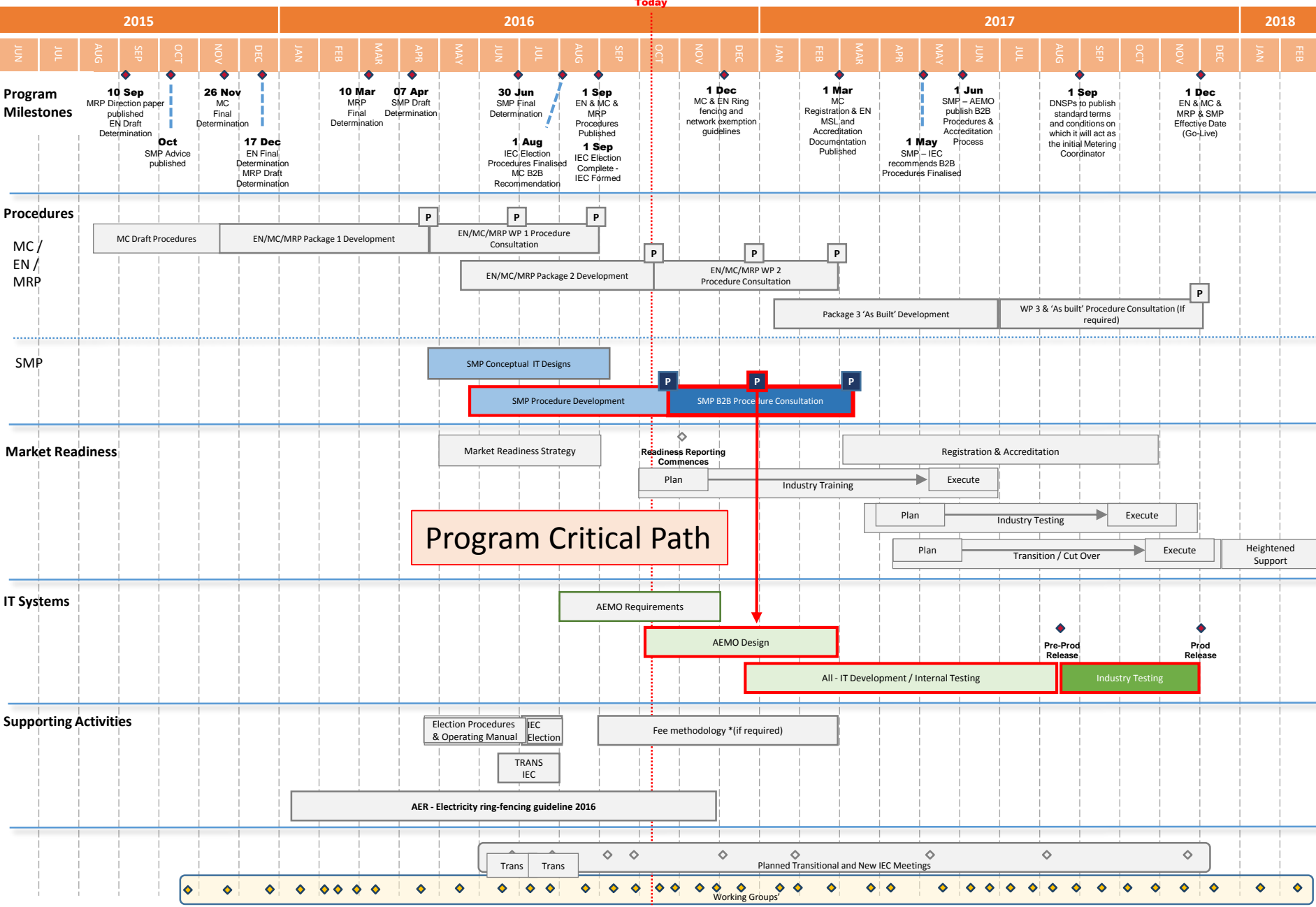For detailed meeting schedule and agendas, see the 2016 industry meeting schedule.

Power of Choice (PoC) Program Overview – Procedure Workstreams (6 month outlook)

High Level Program V4.4 – 10 October 16

AEMO
AUSTRALIAN ENERGY MARKET OPERATOR

Today

	2016											2017		

APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR

22 Apr WP1 Consultation begins

31 May WP1 Submissions Close

30 Jun SMP Final Determination

29 Jun AEMO WP1 publishes Draft Determination

20 Jul WP1 second stage submissions close

31 Aug WP1 AEMO publishes final determination

10 Oct WP2 Consultation begins

17 Oct IEC 'Request' AEMO to conduct Procedure Consultation

20 Oct B2B Consultation begins

15 Nov WP2 Submissions Close

25 Nov B2B Submissions Close

W/C 5 Dec B2B IEC endorse draft procedures for consultation

13 Dec AEMO WP2 publishes Draft Determination

23 Dec B2B AEMO publishes Draft Determination

16 Jan WP2 second stage submissions close

20 Jan B2B second stage submission close

W/C 20 Feb IEC 'Recommends' final Procedures

28 Feb MC Registration & EN MSL and Accreditation Documentation Published

06 Mar B2B Procedures Published

Procedures Work Package #1
MC / EN / MRP

WORK PACKAGE 1

| P | Consultation Stage 1 | AEMO Review Stage 2 | P | Stage 3 | AEMO Review Stage 4 | P |

Procedures Work Package #2
MC / EN / MRP

Group 1
Accreditation / Deregistration Procedures

Group 2
ENM SLP / Accred Procedures

Group 3
NMI Procedure / Glossary

WORK PACKAGE 2

| P | Consultation Stage 1 | AEMO Review Stage 2 | P | Stage 3 | AEMO Review Stage 4 | P |

Procedures B2B

Communication Mapping

Establish WG

Scope / Procedure Structure

Payload / Transaction Design

AEMO Procedure Drafting / Updating

Iterative process

Industry Review

B2B PROCEDURES

| P | Consultation Stage 1 | B2B Review Stage 2 | P | Stage 3 | B2B Review Stage 4 | P |

Trans | Trans | Planned Transitional and IEC Meetings

P Publish

◆ Milestone
Working group meeting
◆ Proposed IEC Meetings (Trans & New)

For detailed meeting schedule and agendas, see the 2016 industry meeting schedule.

Power of Choice (PoC) Program Overview

High Level Program V4.3 – 02 September16

AEMO AUSTRALIAN ENERGY MARKET OPERATOR

	2015		2016		2017		2018

Today

2015: JUN JUL AUG SEP OCT NOV DEC
2016: JAN FEB MAR APR MAY JUN JUL AUG SEP OCT NOV DEC
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[P] EN/MC/MRP WP 1 Procedure Consultation [P] [P]

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Package 3 'As Built' Development

WP 3 & 'As built' Procedure Consultation (If required) [P]

SMP

SMP Conceptual IT Designs

[P] SMP Procedure Development

[P] SMP B2B Procedure Consultation [P]

Market Readiness

Market Readiness Strategy

Readiness Reporting Commences

Registration & Accreditation

Plan → Industry Training → Execute

Plan → Industry Testing → Execute

Plan → Transition / Cut Over → Execute | Heightened Support

Program Critical Path

IT Systems

AEMO Requirements

AEMO Design

Pre-Prod Release

Prod Release

All - IT Development / Internal Testing

Industry Testing

Supporting Activities

Election Procedures & Operating Manual | IEC Election

Fee methodology *(if required)

TRANS IEC

AER - Electricity ring-fencing guideline 2016

Trans | Trans | Planned Transitional and New IEC Meetings

Working Groups

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[P] Publish

◆ Milestone
● Working group meeting
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Critical Path:

- **B2B Procedure development**
- **B2B Procedure consultation**
- AEMO / participant system development
- AEMO / participant system testing
- Effective date

Current focus of the POC-SWG

PROGRAM SCHEDULE

- Dates that the POC-SWG must adhere too:
  - o Rules consultation process has defined dates that activities must occur within

  - o 'Draft determination' must be made no later than 04 January 2017 – IEC and B2B-WG currently targeting **23 December 2016**

  - o B2B-WG must have sufficient time to review TDS and 'recommend' this to the IEC – likely to be early December 2016

  - o Therefore; POC-SWG and Technical Delivery Specification must be prepared by late November 2016

PROGRAM: PROCESSES:

- Risk & Issues – management plan
  - o Raised by participants / working groups / PCF / AEMO
  - o Reviewed, collated and published by AEMO
  - o Reviewed and monitored by PCF

- Raising discussion points / PCF agenda items
  - o Paper > one week prior to PCF
  - o AEMO circulate with meeting pack, make agenda item
  - o Paper should:
    - ➤ Purpose
    - ➤ Background: brief overview
    - ➤ Body: issues/problem/discussion points, identify affected parties
    - ➤ Provide recommendation(s)
  - o Keep it succinct and to a few pages

Process:

- AEMO to present papers/slides that may including:
  - o Technical options for discussion
  - o Strawman solutions / options
  - o Workflow, transactional or other diagrams and process flows
- AEMO will collect any feedback provided during SWG meetings, or via email correspondence
- AEMO will make updates to TDS
- AEMO will provide regular updates to the B2B-WG directly to that WG

SWG MEMBERSHIP: KEY POINTS

POC-SWG key points:

- Large groups require that:
  o Members are familiar with content of rule changes
  o Members are familiar with content of completed Procedure Consultations and in-flight B2B Procedures
  o Members raise 'other business' prior to SWG meetings for discussion
  o Members are familiar with the 'typical' industry processes
  o Members have in-depth knowledge of their systems, business processes a
  o Members are 'briefed-in' by their organisational departments

- Intentionally separated from the working groups

- Meeting Frequency
  o Monthly
  o As required around milestones

- It is envisaged that members of the B2B-WG may attend the POC-SWG regularly during the TDS development.

5. SCOPE, APPROACH AND BOUNDARIES
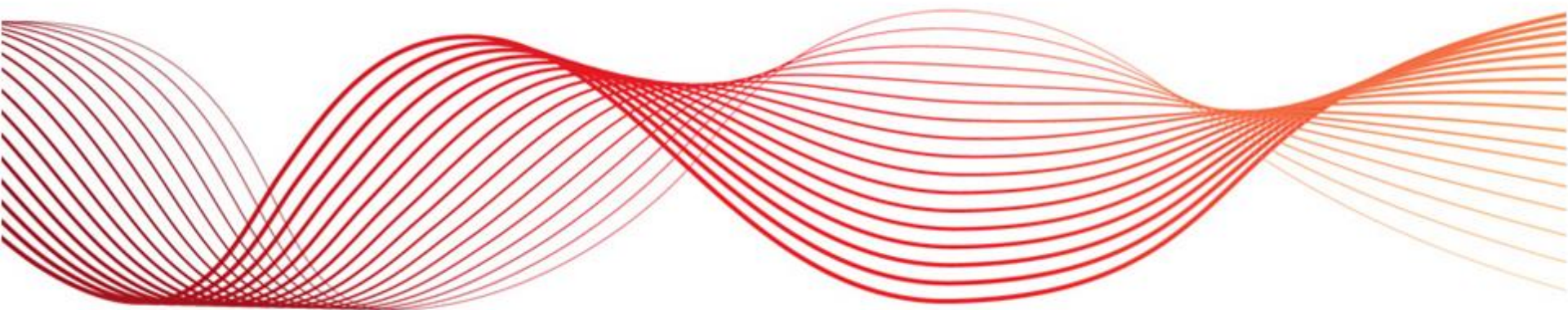
TECHNICAL DELIVERY SPEC
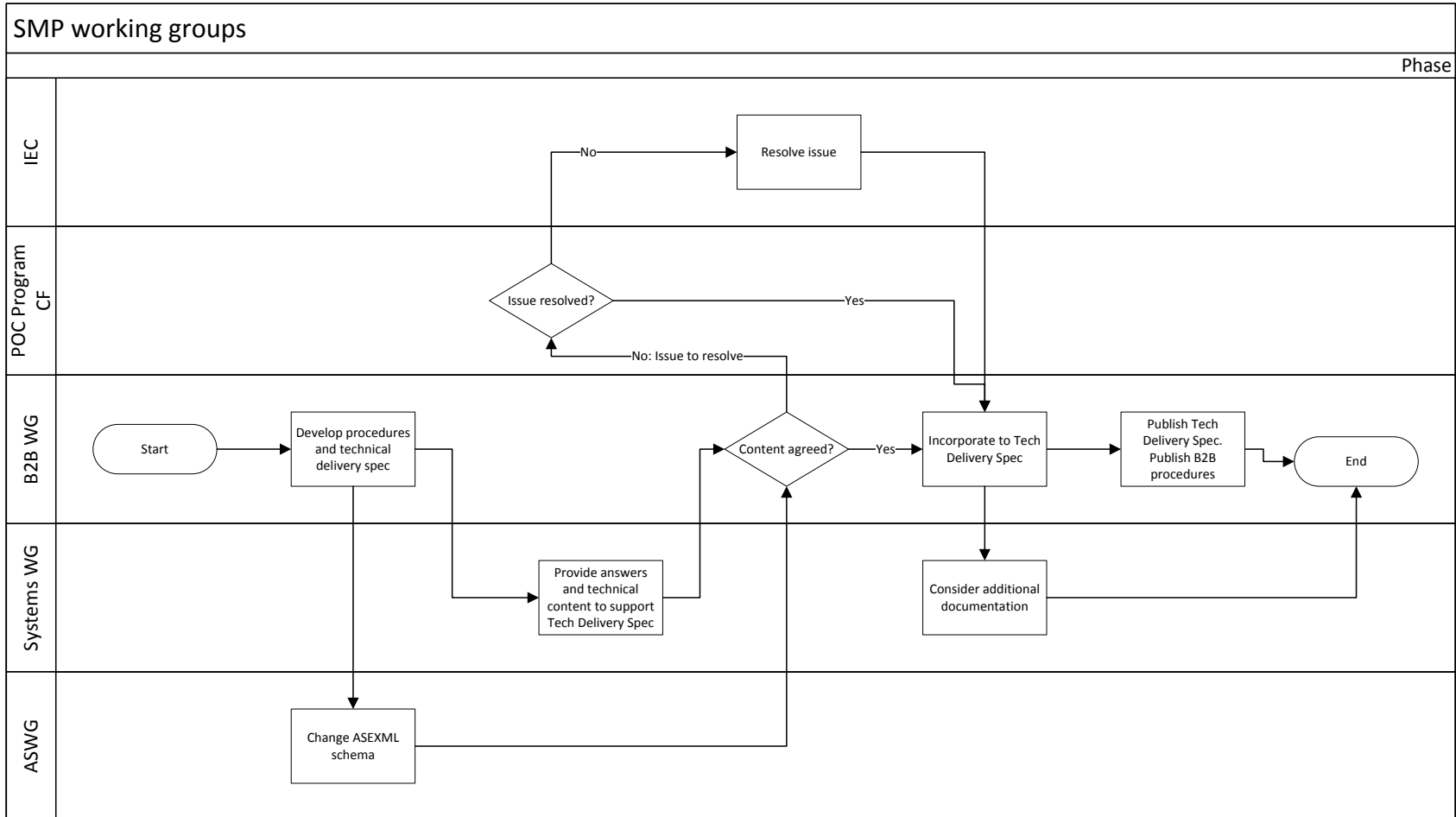
Context - Until December 2016

- B2B-WG owns and creates Tech Delivery Spec (TDS), Procedures and B2B Guide.

- SWG provides direction and content to TDS for B2B.

- IEC 'Recommends' TDS for consultation and the AEMO will facilitate the consultation with the B2B-WG

- AEMO will publish the Procedures and supporting material.

- Program Consultative Forum (POC-PCF) serves as an escalation group if blocker, risk or issue appears.

- ASWG will develop and publish new aseXML version to support Tech Delivery Spec, B2B and AEMO Procedures (Early 23rd December.)

- **23rd Dec 2016** - first draft Tech dev spec published.

Boundary conditions

- AEMO defines the e-Hub platforms minimum requirements (as per rules, and to support the B2B procedures which *"may include minimum performance standards for the B2B e-Hub"*.)

- Enabling Web services and FTP (other protocols may be considered.)

- POC-SWG Only considering technical implementation of E-Hub.

- Day 1: **everyone on new schema.**

- Going forward AEMO will continue to offer N-1 transformation on subsequent schemas.

- AEMO will prioritise activities and detail milestones to meet B2B group deliverables.

SWG outcomes:

i. Direction for some schema changes

ii. Provide content for TDS (B2B doc). *Anything related to transactional messaging between participants and e-Hub; to SUPPORT business processes.*

iii. Consider tech solutions that could help facilitate the market. For example Web GUI or user interface items. This will feeds into additional documents, eg user guides).

B2B PROCEDURES DELIVERABLES AND MILESTONES

- Four key Procedures updates:
  - Service Order Process
  - Customer Site Details Notification
  - Meter Data Process
  - One Way Notification

- Other documents
  - **Technical Design Specification**
  - Business Rules Guide
  - Glossary update for B2B terminology
  - ROLR Procedure Part B

> **6 March 2017** Final B2B Procedures are set to be published
>
> The B2B procedure stream will also assist in System testing and Market Readiness stream activities

- Consultation process (subject to IEC approval)
  - Procedure Consultation on Initial Draft procedures set to start on October 27
  - Draft procedures published on 23 December
  - Final procedures published on 6 March 2017

POC-SWG FORWARD PLAN

FORWARD MEETING PLAN

SWG Meeting	Date	Topic	Proposed topic / discussion points
1	24-Oct-16	**PoC Overview & FTP E-hub Walkthrough**	• FTP Acknowledgement Patterns
2	04-Nov-16	**Ack Patterns & Notified parties**	• FTP Acknowledgement Patterns cont.. • Notified Parties
3	18-Nov-16	**New E-hub Functionality**	• New delivery protocols • New E-hub acknowledgement patterns • New E-hub interoperability • New E-hub Validations
4	06-Dec-16	**New E-hub Functionality cont.**	• New delivery protocols • New E-hub acknowledgement patterns • New E-hub interoperability • New E-hub Validations
5	18-Dec-16	**Schema Impacts**	• How the schema will support notified parties • Review B2B & B2M schema changes for EN,MC & B2B

- Ad-hoc meetings can be arranged

APPENDIX

Program:
- Antara Mascarenhas
- Ben Healy
- Mike Ryan

Email: poc@aemo.com.au

Procedures:
- Tim Sheridan

B2B Procedures:
- Chris Cormack

Readiness:
- Slavko Jovanoski
- Kerry Galloway

Systems:
- James Kevil
- Wayne Lee
- Matt Stuchbury

7.17.1 B2B e-Hub

a) AEMO must provide and operate a B2B e-Hub.

b) The B2B e-Hub must:

1) have the capability to facilitate the B2B Communications in accordance with the B2B Procedures;

2) have the capability to Parties; and

3) meet support a free-form method of communication between B2B any minimum standards of performance specified in the B2B Procedures.

c) …